SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>SULLIVAN WILLIAM D</u>					2. Issuer Name and Ticker or Trading Symbol <u>SM Energy Co</u> [SM]								ationship of F all applicat		Person(s) to Issuer 10% Ov	whor
(Last)	(First)	(Mi	ddle)	3. Date of Earliest Transaction (Month/Day/Year) 05/25/2016								Officer (g below)	give title		Other (s below)		
1775 SHERMAN ST																	
SUITE 1200				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv						
(Street)													Form file	d by More	than O	ne Reportin	g Person
DENVER CO 80203																	
(City)	(State)	(Zi	o)														
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date				saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount	(A) or (D)		Price	(Instr. 3 and 4)				(1150.4)	
Common Stock; \$.01 Par Value 05/2					25/2016		A ⁽¹⁾		11,134	4	Α	\$31.89	60,479			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution Da		ate,	. for the second		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. On May 25, 2016, the reporting person was granted 8,468 shares of the issuer's common stock for compensation as a board member. The reporting person was also granted 2,666 shares of the issuer's common stock as compensation for his role as Non-Executive Chairman of the Board of Directors. These shares are restricted stock and will be 100% vested on December 31, 2016.

(D)

Date

Exercisable

Expiration

Title

Date

Remarks:

Karin M. Writer (Attorney-In-Fact)

** Signature of Reporting Person

Amount

Number

of Shares

or

Date

05/27/2016

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.