SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Per Ellen	son*	2. Issuer Name and Ticker or Trading Symbol <u>SM Energy Co</u> [SM]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	5 SHERMAN STREET		3. Date of Earliest Transaction (Month/Day/Year) 07/03/2017	x	Director Officer (give title below) Sr. VP & Region	10% Owner Other (specify below) nal Manager			
SUITE 1200			4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Ap						
(Street) DENVER	СО	80203		x	Form filed by One Rep Form filed by More that	orting Person n One Reporting Person			
(City)	(State)	(Zip)							
		Table I - Non-I	Perivative Securities Acquired, Disposed of, or Benef	icially Ow	ned				

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)
Common Stock; \$.01 Par Value	12/30/2016		J ⁽¹⁾	v	356	A	\$23.868	21,491	D	
Common Stock; \$.01 Par Value	12/30/2016		J ⁽²⁾	v	362	Α	\$23.868	14,277	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	06/30/2017		J ⁽⁴⁾	v	575	A	\$14.05	22,066	D	
Common Stock; \$.01 Par Value	06/30/2017		J ⁽⁵⁾	v	585	Α	\$14.05	14,862	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		М		372	A	(6)	22,438	D	
Common Stock; \$.01 Par Value	07/03/2017		F		102	D	\$16.53	22,336	D	
Common Stock; \$.01 Par Value	07/03/2017		М		199	Α	(7)	15,061	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		F		53	D	\$16.53	15,008	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		М		743	A	(8)	23,079	D	
Common Stock; \$.01 Par Value	07/03/2017		F		204	D	\$16.53	22,875	D	
Common Stock; \$.01 Par Value	07/03/2017		М		381	Α	(9)	15,389	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		F		101	D	\$16.53	15,288	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		М		1,009	A	(10)	23,884	D	
Common Stock; \$.01 Par Value	07/03/2017		F		276	D	\$16.53	23,608	D	
Common Stock; \$.01 Par Value	07/03/2017		м		534	Α	(11)	15,822	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/03/2017		F		145	D	\$16.53	15,677	I ⁽³⁾	By husband

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Derivative Code (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(6)	07/03/2017		М			372	(6)	(6)	Common Stock	372	(6)	0	D	
Restricted Stock Units	(7)	07/03/2017		м			199	(7)	(7)	Common Stock	199	(7)	0	I ⁽³⁾	By husband

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, Transaction Derivative		tive ties red (A) posed (Instr. 3,	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(8)	07/03/2017		М			743	(8)	(8)	Common Stock	743	(8)	744	D	
Restricted Stock Units	(9)	07/03/2017		М			381	(9)	(9)	Common Stock	381	(9)	382	I ⁽³⁾	By husband
Restricted Stock Units	(10)	07/03/2017		М			1,009	(10)	(10)	Common Stock	1,009	(10)	2,018	D	
Restricted Stock Units	(11)	07/03/2017		М			534	(11)	(11)	Common Stock	534	(11)	1,069	I ⁽³⁾	By husband

Explanation of Responses:

1. The reporting person purchased 356 shares of the issuer's common stock on December 30, 2016, through the issuer's Employee Stock Purchase Plan.

2. The reporting person's husband purchased 362 shares of the issuer's common stock on December 30, 2016, through the issuer's Employee Stock Purchase Plan.

3. The reporting person's husband is also employed by the issuer, purchases the issuers's common stock through the issuer's Employee Stock Purchase Plan, and receives grants of restricted stock units and performance share awards.

4. The reporting person purchased 575 shares of the issuer's common stock on June 30, 2017, through the issuer's Employee Stock Purchase Plan.

5. The reporting person's husband purchased 585 shares of the issuer's common stock on June 30, 2017, through the issuer's Employee Stock Purchase Plan.

6. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested in three equal annual installments beginning on July 1, 2015. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.

7. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested in three equal annual installments beginning on July 1, 2015. The vested shares were issued to the reporting person's husband on the vesting dates, at which time all restrictions on the vested shares lapsed.

8. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning on July 1, 2016. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

9. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning on July 1, 2016. The vested shares were issued to the reporting person's husband on the vesting dates, at which time all restrictions on the vested shares lapsed.

10. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning July 1, 2017. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

11. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning on July 1, 2017. The vested shares were issued to the reporting person's husband on the vesting dates, at which time all restrictions on the vested shares lapsed.

Remarks:

Karin M. Writer (Attorney-In-Fact)

** Signature of Reporting Person

Date

07/06/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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