SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Brand Stephen R					2. Issuer Name and Ticker or Trading Symbol <u>SM Energy Co</u> [SM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/25/2018								Officer (g below)	jive title		Other (s below)		
1775 SHERMAN STREET SUITE 1200					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)												Form file	d by More	than O	ne Reportin	g Person		
DENVER CO 80203																		
(City)	(State)	(Zi	(Zip)															
		Та	ble I - Nor	n-Der	ivative Se	ecurities Acq	uired,	Disp	osed of	, or l	Benefic	cially Ow	ned					
Date				h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount Securities Beneficiall Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 					
Common Stock; \$.01 Par Value 06/2					25/2018		A ⁽¹⁾		6,78	0	A	\$ <mark>0</mark>	\$0 43,915			D		
						urities Acqui s, warrants, c							ed					
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date,			4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable an Expiration Date (Month/Day/Year)			7. Title and Amount o Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	ive derivative y Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. On June 25, 2018, the reporting person was granted 6,780 shares of the issuer's common stock for compensation as a board member. These shares are restricted stock and will be 100% vested on December 31, 2018.

(D)

Date

Exercisable

Expiration

Title

Fact)

Date

Remarks:

Karin M. Writer (Attorney-In-

06/25/2018

(Instr. 4)

** Signature of Reporting Person

Amount

Number

of Shares

or

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code V (A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.