SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>PERU RAMIRO G</u>							2. Issuer Name and Ticker or Trading Symbol <u>SM Energy Co</u> [SM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First)					3. Date of Earliest Transaction (Month/Day/Year) 06/21/2019										Officer (give title		10% Owner Other (specify below)		
1775 SHERMAN STREET SUITE 1200					4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) DENVER CO 80203			0203												Form file	d by More	than On	ne Reportin	g Person	
(City)	(State)	(Zi	p)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					ate Ionth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			Securities Beneficial Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		ership Direct (D) rect (I) 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(1150.4)	
Common Stock, \$.01 Par Value 06/2						5/21/2019			A ⁽¹⁾		10,34		Α	\$ <mark>0</mark>	44,124			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, T if any C			saction le (Instr. Scurites Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			Secu Deriv			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e C s F Ily E c I (10. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date

Exercisable

Expiration

Title

Fact)

Date

Explanation of Responses:

1. On June 21, 2019, the reporting person was granted 10,345 shares of the issuer's common stock for compensation as a board member. These shares of restricted stock will vest in full on December 31, 2019.

(D)

(A)

Remarks:

Karin M. Writer (Attorney-In-

06/24/2019

** Signature of Reporting Person

or

Number

of Shares

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v

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