SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Copeland David W</u>				r Name and Ticker o <u>nergy Co</u> [SM of Earliest Transacti	1]				ationship of Reporting F < all applicable) Director	10% C	wner		
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/24/2020						Officer (give title below)	below)	Other (specify below)	
1775 SHERMA	N STREET								EVP and Ge	eneral Counsel			
SUITE 1200				endment, Date of Or	riginal File	ed (Mo	onth/Day/Year)		6. Indiv	vidual or Joint/Group F Form filed by One I	• • • •	cable Line)	
(Street)										2		Demo	
DENVER	СО	80203							Form filed by More	than One Reporti	ng Person		
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Year)	Execution Date,		3. 4. Securities Acquired (A) o Transaction Disposed Of (D) (Instr. 3, 4 a) Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 		(Instr. 4)	
Common Stock: \$.01 Par Value 02/2			02/24/2020		Р		5.000	A	\$7.68	120,912	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Р

5,000

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

Common Stock; \$.01 Par Value

Remarks:

David W. Copeland

** Signature of Reporting Person

\$7

Α

125,912

D

02/26/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

02/25/2020

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

