SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Brand Stephen R						2. Issuer Name and Licker or Trading Symbol <u>SM Energy Co</u> [SM]									k all applicat	ionship of Reporting Pe all applicable) Director		s) to Issuer 10% Ov	vner
(Last)	, , , , , , ,				3. Date of Earliest Transaction (Month/Day/Year) 06/09/2020									Officer (g below)	give title		Other (s below)	specify	
1775 SHERMAN STREET SUITE 1200					4. If Amendment, Date of Original Filed (Month/Day/Year)									ndividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) DENVER CO 80203															Form file	d by More	than Oi	ne Reportin	g Person
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Der	ivative	e Se	ecuritie	s Acq	uired, I	Disp	osed of,	or E	Benefi	icially Ov	vned				
Date					ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	n Date,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
								Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock, \$.01 Par Value 06/0						0			A ⁽¹⁾ 27,028 A		\$ <mark>0</mark>	81,2	,288		D				
			Table II - I								sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date I Expiration (Month/I		7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)		nderlying ecurity 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio	e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				- 1										Amount	1	(Instr. 4)			

Date

Exercisable

Expiration

Title

Fact)

Date

Explanation of Responses:

1. On June 9, 2020, the reporting person was granted 27,028 shares of the issuer's common stock for compensation as a board member. These shares of restricted stock will vest in full on December 31, 2020.

(A)

(D)

Remarks:

Andrew T. Fiske (Attorney-in-

06/10/2020

** Signature of Reporting Person

Number

of Shares

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

Code

The undersigned hereby constitutes and appoints each of A. Wade Pursell, David W. Copeland and Andrew T. Fiske the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned Forms 3,
 4 and 5 in accordance with Section 16(a) of the
 Securities Exchange Act of 1934 and the rules
 thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete the execution of any such Form 3, 4 or 5 and the timely filing of such form with the United States Securities and Exchange Commission and any other authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-infact, in serving in such capacity at the request of the undersigned, are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney hereby revokes all prior existing Powers of Attorney executed by the undersigned and related to Forms 3, 4 and 5 promulgated pursuant to Section 16(c) of the Securities Exchange Act of 1934. This Power of Attorney can only be revoked by delivering a signed, original Revocation of Power of Attorney to the attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 18th day of September, 2019.

/s/ Stephen R. Brand