SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Copeland Davide SM Energy Co [SM] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Total of General Counsel 1775 SHERMAN STREET SUITE 1200 If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Solato (Zip) (If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by One Reporting Person (Street) (State) (Zip) (Zip) Table 1 - Non-Decurities Acquired, Disposed of, or Beneficitus Usual	1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Copeland David W SM Energy Co [SM] Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title Other (specify below) 1775 SHERMAN STREET SUITE 1200 If Amendment, Date of Original Filed (Month/Day/Year) EVP & General Counsel (Street) DENVER CO 80203 If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line)			Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	ally Ow	ned				
Copeland David W SM Energy Co [SM] Director 10% Owner 3. Date of Earliest Transaction (Month/Day/Year) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner 1775 SHERMAN STREET 200 EVP & General Counsel SUITE 1200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Indiv/dual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	(City)	(State)	(Zip)										
Copeland David W SM Energy Co [SM] Director 10% Owner 3. Date of Earliest Transaction (Month/Day/Year) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner 1/775 SHERMAN STREET 2 Officer (give title below) Other (specify below) SUITE 1200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line)		СО	80203						-				
Copeland David W SM Energy Co [SM] Director 10% Owner 3. Date of Earliest Transaction (Month/Day/Year) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner 12/21/2020 12/21/2020 Director Officer (give title below) Other (specify below)	SUITE 1200			4. If Ame	ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)						
Copeland David W SM Energy Co [SM] (Check all applicable) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X Officer (give title Other (specify	1775 SHERM	AN STREET							EVP & General Counsel				
Copeland David W (Check all applicable)	(Last)	(First)	(Middle)			on (Month/Day/	Year)	x	Officer (give title	Other (specify		
			*				lool		all applicable)				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code V

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (In	ransaction Derivative code (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	12/21/2020		A		39,716		(1)	(1)	Common Stock, \$.01 Par Value	39,716	\$0	39,716	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal installments on December 14, 2021, July 1, 2022 and July 1, 2023. The vested shares will be issued to the Reporting Person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Remarks:

David W. Copeland
** Signature of Reporting Person

(A) or (D)

Price

Amount

<u>12/22/2020</u> Date

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5