SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

(City)	(State)	(Zip)							
DENVER	СО	80203			· · · · · ·				
(Street)				X	, , , , , , , , , , , , , , , , , , ,	in One Reporting Person			
SUITE 1200			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
1775 SHERM	AN STREET		VP- Land & Asst. Secretary						
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2013	X	Officer (give title below)	Other (specify below)			
1. Name and Address of Reporting Person <sup>*</sup> Knott Kenneth J.			2. Issuer Name and Ticker or Trading Symbol <u>SM Energy Co</u> [ SM ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Non benvalive dedunice Acquired, Disposed of, of Benenolary Owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
			Code	v	Amount	(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>		(Instr. 4)			
Common Stock: \$.01 Par Value	06/28/2013		<b>J</b> <sup>(1)</sup>		360	Α	\$44.38	29,374	D				
Common Stock: \$.01 Par Value	07/01/2013		М		853	Α	(2)	30,227	D				
Common Stock: \$.01 Par Value	07/01/2013		F		234	D	\$59.98	29,993	D				
Common Stock: \$.01 Par Value	07/01/2013		М		268	Α	(3)	30,261	D				
Common Stock: \$.01 Par Value	07/01/2013		F		74	D	\$59.98	30,187	D				
Common Stock: \$.01 Par Value	07/01/2013		М		542	Α	(4)	30,729	D				
Common Stock: \$.01 Par Value	07/01/2013		F		149	D	\$59.98	30,580	D				

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 5. Number of 6. Date Exercisable and 3. Transaction 3A. Deemed 7. Title and Amount of 8. Price of 9. Number of 11. Nature 10. Derivative Conversion Date Execution Date Transaction Derivative Expiration Date Securities Underlying Derivative derivative Ownership of Indirect **Derivative Security (Instr** Security (Instr. or Exercise (Month/Dav/Year if anv Code (Instr. Securities (Month/Dav/Year) Security Securities Form: Beneficial (Month/Day/Year 3) Price of 8) Acquired (A) 3 and 4) (Instr. 5) Beneficially Direct (D) Ownership or Indirect (I) (Instr. 4) Derivative or Disposed Owned (Instr. 4) Security of (D) (Instr. 3, 4 and 5) Following Reported Transaction(s) Amount (Instr. 4) Number Date Expiration Date Code v (A) (D) Exercisable Title of Shares Restricted Stock Common (2)07/01/2013 Μ 853 07/01/2013 07/01/2013 853 \$<mark>0</mark> 0 D Units Stock Restricted Stock Common 07/01/2013 07/01/2013 D (3) Μ 268 07/01/2013 268 \$<mark>0</mark> 536 Units Stock Restricted Stock Common (4) 07/01/2013 542 07/01/2013 07/01/2013 542 1,087 D М \$<mark>0</mark> Units Stock

### Explanation of Responses:

1. The reporting person purchased 360 shares of the issuer's common stock on June 28, 2013, through the issuer's Employee Stock Purchase Plan.

2. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested over a three-year period, with 1/7th vesting on July 1, 2011, 2/7th vesting on July 2, 2012, and 4/7th vesting on July 1, 2013. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.

3. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests over a three-year period, with 1/7th vesting on July 2, 2012, 2/7th vesting on July 1, 2013, and 4/7th vesting on July 1, 2014. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

4. Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning on July 1, 2013. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

### Remarks:

Karin M. Writer (Attorney-In-07/03/2013 Fact)

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

